

# AUTOMOTIVE AXLES LIMITED

12<sup>th</sup> September 2023

**The BSE Limited**

Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai – 400 001.  
**Scrip Code: 505010**

**National Stock Exchange of India Limited**

Exchange Plaza, Plot No. C/1, G- Block  
Bandra (E)  
Mumbai – 400 051.  
**Scrip Code: AUTOAXLES**

Dear Sir/Madam,

**Sub: Reporting of violations under the Code of Conduct for Insider Trading and Fair Disclosure of Unpublished Price Sensitive Information of the Company.**

**Ref: SEBI/HO/ISD/ISD/CIR/P/2020/135 circular dated July 23, 2020**

With reference to the above, please find enclosed herewith report on violations under the Code of Conduct for Insider Trading and Fair Disclosure of Unpublished Price Sensitive Information of the Company by the Designated Persons and/or their immediate relatives.

This is for your information and record.

Thanking you,

Yours Truly,

**For Automotive Axles Limited**

**Debadas Panda**

**Company Secretary & Compliance Officer**



**Regd. Office & Mysuru Unit :**

Hootagalli Industrial Area, Off Hunsur Road, Mysuru – 570 018, Karnataka, India

Telephone : 91-821-719 7500, Fax : 91-821-2402451

Email : [sec@autoaxle.com](mailto:sec@autoaxle.com), Website : [www.autoaxle.com](http://www.autoaxle.com)

CIN : L51909KA1981PLC004198

ISO 9001:2015 / IATF 16949 : 2016, EMS : ISO : 14001:2015 & OHSAS : ISO : 45001 : 2018



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## Reporting on Insider Trading violation under Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

SN	Particulars	Details	
1.	Name of the listed company/ Intermediary/Fiduciary	Automotive Axles Limited	
2.	Please tick appropriate checkbox Reporting in capacity of : √ Listed Company Intermediary Fiduciary	Listed Company	
3.	<b>A. Details of Designated Person (DP)</b>		
	i. Name of the DP	Ranganathan S	Ranganathan S
	ii. PAN of the DP	AARPR8520R	AARPR8520R
	iii. Designation of DP	Chief Financial Officer	Chief Financial Officer
	iv. Functional Role of DP	Head of Finance	Head of Finance
	v. Whether DP is Promoter or belongs to Promoter Group	No	No
	<b>B. If Reporting is for immediate relative of DP</b>		
	i. Name of the immediate relative of DP	S JOTHI	NA
	ii. PAN of the immediate relative of DP	AJSPJ5959N	NA
	<b>C. Details of transaction(s)</b>		
	i. Name of the scrip	Automotive Axles Limited BSE:505010 AUTOAXLES	NSE:
	ii. No of shares traded and value (Rs.) (Date- wise)	Bought 2 shares amounting to Rs. 3139.20 on 17 June, 2022	Sold 50 shares amounting to Rs. 93,500 on 27 September, 2022
	<b>D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter</b>		
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	N.A.	
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	N.A.	
4.	Details of violations observed under Code of Conduct	Spouse of DP executed contra trade within a period of six months from date of Sale of shares by DP.	DP executed contra trade within a period of six months from the date of purchase of share by his Spouse.
5.	Action taken by Listed company/ Intermediary/ Fiduciary	The Company took the following actions: a. Sought explanation in writing for the said violation;	The Company took the following actions: a. Sought



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		and b. Issued warning against any future violation.	explanation in writing for the said violation; and b. Issued warning against any future violation.												
6.	Reasons recorded in writing for taking action stated above	Warning issued considering the amount involved and the fact that this was a first time violation by the DP and done inadvertently.	Warning issued considering the amount involved and the fact that this was a first time violation by the DP and done inadvertently.												
7.	Details of the previous instances of violations, if any, since last financial year	No	No												
8.	If any amount collected for Code of Conduct violation(s)														
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	None	None												
	ii. Details of transfer/ payment														
	In case of online:														
	<table border="1" style="width: 100%;"> <thead> <tr> <th style="width: 40%;">Particulars</th> <th style="width: 60%;">Details</th> </tr> </thead> <tbody> <tr> <td>Name of transferor</td> <td style="text-align: center;">-</td> </tr> <tr> <td>Bank Name, branch and Account number</td> <td style="text-align: center;">-</td> </tr> <tr> <td>UTR/Transaction reference Number</td> <td style="text-align: center;">-</td> </tr> <tr> <td>Transaction Date</td> <td style="text-align: center;">-</td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> <td style="text-align: center;">-</td> </tr> </tbody> </table>			Particulars	Details	Name of transferor	-	Bank Name, branch and Account number	-	UTR/Transaction reference Number	-	Transaction Date	-	Transaction Amount (in Rs.)	-
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9.	Any other relevant information	None													

**For Automotive Axles Limited**

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**Company Secretary & Compliance Officer**



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